

Express Mail No. EL887450603US
PATENT

IBM/201DV1
Confirmation No. ASSIGNED HEREWITH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph Philip Bigus et al. Art Unit: Unknown
Serial No.: FILED HEREWITH Examiner: Unknown
Filed: February 18, 2002 Atty. Docket No.: IBM/201DV1
For: DISTRIBUTION MANAGEMENT OF INTELLIGENT AGENTS USING
DISTRIBUTION CONTROL INFORMATION

#3
S. Zand
12/19/02
jc979 U.S. PTO
10/078013
02/19/02

INFORMATION DISCLOSURE STATEMENT

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In accordance with Applicants' duty of disclosure under the provisions of 37 C.F.R. § 1.56, the references listed on the enclosed Form PTO-1449 are brought to the attention of the Examiner.

Each of the references was cited in the parent for the above-identified application, U.S. Serial No.10/021,203, filed on October 30, 2001 by Joseph Philip Bigus et al. Accordingly, no copies of the references are provided.

This application is related to the following U.S. applications:

<u>Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
08/821,935	March 21, 1997	pending
08/822,993	March 21, 1997	abandoned
09/100,595	June 19, 1998	pending
09/431,833	November 2, 1999	pending

No representation is made that any of the references are "prior art" within the meaning of 35 U.S.C. § 102 or § 103. Moreover, any explanations herein are not to be taken as a

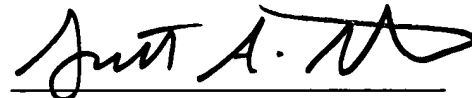
representation that the references have been thoroughly reviewed. In particular, no representation as to the relative relevance of any portion of a reference is intended.

Consideration of the references and the remarks presented herein in the above-identified application is respectfully requested. This Statement is being filed concurrently with the application, and consequently, no fee is believed to be due.

If there are any questions regarding this paper, or which might otherwise further this case onto allowance, please contact the undersigned at (513) 241-2324. Moreover, if any other charges or credits are necessary to complete this communication, please apply them to Deposit Account 23-3000.

Respectfully submitted,

19 FEB 2002
Date



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SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(MODIFIED) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
IBM/201DV1
(ROC920010146US2)

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

APPLICANT
Joseph Philip Bigus et al.

FILING DATE
February 18, 2002

GROUP
Unknown

(37 CFR 1.98(b)) (Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A	6	0	2	1	4	3	7	2/1/2000	Chen et al.	709	224	7/14/1997
	A.B	6	0	7	0	1	8	5	5/30/2000	Anupam et al.	709	204	5/2/1997
	A.C	6	0	8	5	1	7	8	7/4/2000	Bigus et al.	705	80	3/21/1997
	A.D	6	1	8	2	1	3	6	1/30/2001	Ramanathan et al.	709	224	9/8/1998
	A.E	6	1	9	2	3	5	4	2/20/2001	Bigus et al.	706	46	3/21/1997
	A.F	6	1	9	9	0	9	9	3/6/2001	Gershman et al.	709	203	3/5/1999
	A.G	6	2	0	2	1	9	9	3/13/2001	Wygodny et al.	717	4	7/30/1998
	A.H	6	2	8	2	7	0	1	8/28/2001	Wygodny et al.	717	4	7/30/1998
	A.I	6	2	8	6	0	4	7	9/4/2001	Ramanathan et al.	709	224	9/10/1998
	A.J												
	A.K												

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L						
	A.M						
	A.N						
	A.O						
	A.P						
	A.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	A.R	Object Management Group, Inc., <u>Mobile Agent Facility Specification</u> , New Edition: January 2000; GMD FOKUS and IBM (1999)
	A.S	Bieszczad, Andrzej et al., "Management of Heterogeneous Networks with Intelligent Agents", <u>Bell Labs Technical Journal</u> , Lucent Technologies, Inc., (October-December 1999), pp. 109-135.
	A.T	U.S. Patent Application Serial No. 08/821,935, entitle "Intelligent Agent with Negotiation Capability and Method of Negotiation Therewith," filed on March 21, 1997 by Bigus et al. (RO996-054)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B.A						
	B.B						
	B.C						
	B.D						
	B.E						
	B.F						
	B.G						
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	B.I						
	B.J						
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	B.L						
	B.M						
	B.N						
	B.O						
	B.P						
	B.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	B.R	U.S. Patent Application Serial No. 08/822,993, entitled "Apparatus and Method for Optimizing the Performance of Computer Tasks Using Intelligent Agent with Multiple Program Modules Having Varied Degrees of Domain Knowledge," filed on March 21, 1997 by Bigus et al. (RO996-052)
	B.S	
	B.T	

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